

## Rajendra Kathal

ISO 27001 | ISO22301 | ISO9001 | PCI-DSS | ISO31000 | COBIT F CRISC |  
CDPSEICISA | COBIT5 | ITILV4  
SME ISO & ISMS-GRC, Comptia A+, Sec 501



### Freelance Trainer/Consulting Auditor: Since 2018:

#### Training and Consulting Provided:

- CIPM - 2 batches with USA clients
- GDPR-CDPO - 9 batches Dubai, ITC, Mix (6 pax), CapGemini (6 pax), ArpanB,
- CAP-ISC2 - 4 batches
- CISA - 30batches Intel, ANZ, PWC (London), CISA 2019 2 batches, Cambodia Govt. (Singapore)
  
- CISMP (BCS) - 4 Batches at Unilever India, Doha (2 times)
- CISM - 35 batches Vodafone HCL Barclays, London(PWC 2Batches), Honda, RR (UAE), Nasser (Dubai)
- CGEIT - 5 batches (Honda Motors, Dubai Port Authority, Marwan (Kuwait)
- CRISC - 30 batches (ANZ, Barclays, TJ, Unilever)
- CISSP - 4 batches at Riyadh (6 pax), India etc.
- SSCP - 2 batch Bengaluru
- COBIT 5 - 3 batches
- ISO 31000 - 3 batches
  
- ISO 37001 LA - 3 batches
- ISO 27005 - 3 batches
- ISO 14001 - 2 batches
- ISO 27001 LA - 23 batches (Malawi, Dubai, New Delhi)
- ISO 27001 LI - 23 Batches (Dubai, New Delhi, Goa)
- ISO 9001 LA-LI - 4 batches
- ISO 20000 LA - 6 batches (2 Bahrain, Muscat)
- ISO 20000 LI - 2 batches (Muscat, New Delhi)
- ISO 22301 LA - 5 batches
- ISO 22301 LI - 2 batches
- ISO 45001 TR - 2 batch Afghanistan
- ISO 27017 - 3 batches
- CCSK/CCSP - 1 batch
- Miscellaneous: SOX, CCSP, ISO45001, ISO14001 :



## ISO Auditor Work Experience (Overall):

1 <sup>st</sup> Party Auditor	- Internal Auditor -4 audits ISO 27001, 3 audits-ISO 37001, 3 Audits ISO-14001/18001
2 <sup>nd</sup> Party Audit	- As Auditee faced 10 customer/big 4 audits (As per MSA)
3 <sup>rd</sup> Party Cert Audit	- As MR/Coordinator role managed 3 Certification Audits - ISO 9002 – KPMG, BS7799+UKDPA98-BSI, ISO17799 TUV
Auditee	- As Department Head 14 audits, SOC was Triple ISO 9000/20000/27001 by BVQI
Implementation	- BS7799 plus UK DPA 1998, ISO 17799, ISO 9002

## Other Corporate Experience Summary:

- Over 36 years of Information Security Risk experience spanning across consulting & captive IT organizations. Last 10 years in Information Security Audit, Governance, Legal, Risk and Compliance. Strategic role and vision development in managing large business
- Currently Freelance Trainer/Consulting Auditor-Especially related to ISO standards. Trained and consulted for ISO standards -37001 31000 27001 9001 14001 45001 (18001) 20000 22301 27005 etc.
- Including Contract with M/s Hazen Legal Associates in matters related to Bribery and Anti- corruption cases.
- Companies :Koenig, HCL, TechMahindra, Vanguard, HeroITES, Hewlett Packard, SAP & DEC & Network
- Iconic Trainer- Koenig - ISO Standards Audit and Consulting, Security Governance, Risk & Compliance across the globe. Fly Me a Trainer in locations –London, Singapore, Muscat, Dubai, Riyadh, Bahrain, Malawi, Mongolia & India
- Head- Risk and Compliance (FS) at HCL GRC Compliance for 60 BFSI customers-JP Morgan, Standard Chartered, DB (Germany), DNB (Norway), Great American Insurance, LBG (UK) , SGX (Singapore). Specific areas of Audit coverage include compliance in legal, bribery, corruption matters. Policy drafting/framework formulation on bribery & corruption along with Legal Team. Audited Purchase/Admin departments on fair practices adopted & to scrutinize from corruption and bribery.
- Head-Global IT Security Operations (HCL SOC) this job focuses on Global Customer Support for IT assets, its protection & risk management. SOC-Triple ISO: IS27K, ISO20K & ISO9K (under DNV).
- Head-ISG in Tech Mahindra, I worked for projects of BT, AT & T, & SwissOne involving Process Compliance, Governance & Audits. Success: ISO 17799 Certification.
- As CISO & Vice President in the 2 BPO (at Hero & Vanguard) , I created the Call Centre grounds up, hiring IT people, working with vendors & IT contractor to ensure that the Centre is up & running. Part of the Country Mgmt. team. Call Centre forUS & UK (inbound & outbound technical /telemarketing calls). ISO27001 Certification in Vanguard.
- Hewlett Packard, as 1-Man army, set-up the Outsourcing Practice on diverse technology processes & skilled resourcing. Services to customers Citiphone POS, IBM Daksh, Bloomberg & AFL (56 locations)
- SAP role provided a crucial Client Relationship in ERP



- At DEC in multiple roles: Management Representative & successfully covered ISO 9002 certification (KPMG). Lead Assessor with MAIT –European Foundation Quality Model Quality Award, 1996. IT Outsourcing Services for ICICI, JNPT, JVSL and others.
- Member Cyber Security Task Force CSTF Nasscom-DSCI, 2015
- Part of Global ISOs IECJTC1/SC27 Meet at Jaipur 2015. Foreign Delegates :250 Indian Delegate:100
- Recognized as 'Fellow' (2010)-Institution of Electronic & Telecommunications Engineers, New Delhi.
- President ISACA New Delhi Chapter- 2 terms (2011-2014)

## Detailed Roles & Responsibilities /Achievements:

### Freelance Trainer/Consulting Auditor: Since Oct 2018

- Trainings for ISACA, ISO Products, GDPR, BCMS etc.
- Consultant Advisor – M/s Hazen Legal Associates  
Worked on several cases of corruption and bribery for the company.

### Koenig Solutions Pvt Ltd- New Delhi: 2016-2018

- Training & Consultation – ISO Standards & Auditing, Security GRC Compliance across globe.
- locations –London, Singapore, Muscat, Dubai, Riyadh, Bahrain, Malawi, Mongolia & India
- Consulted on Audit Matters especially ISO 9001 27001 14001 45001, 18001

### HCL Technologies LTD, Noida: 2013-2016

Head- Risk and Compliance for Financial Services,

- Managing Governance, Risk & Compliance of FS customers across the globe.
- Banks name: DB, Bank Of Ireland, DNB Norway, Nationwide, UKAR, Erste Bank Austria GAIC, SGX
- Customer Audit Controls/ Guidelines: SSAE16 (ISAE3402); FISMA, SOX, SAS70, FFIEC Practices, US/EU Laws, DPA/APAC, BCP Implementation.
- Head-Global IT Security Operations Center (SOC) 2007– 2013
- Managing 24X7 technical security support solution center for IT security.
- Security Incident Monitoring & Vulnerability Management. Malware Detection & Analysis Services,
- Triple ISO Certified Center - ITIL v3 (ISO 20000), 27001, ISO9001; BS 25999 & ISO 14001

### Tech Mahindra (MBT), Noida: 2005–2007

Head- Information Security Group

- IT Risk Assessment & Treatment, IT BCP drills /DRP, IT Security Posture Management
- Conduct IT Audits/Support second party customer audits AT & T, BT, Bell Canada Swiss One BPO etc
- Manage ISMS- Noida Region; Successfully certified ISO 27001 by TUV, March 2007

### ASTRO All Asia Network, Kuala Lumpur, Malaysia (Contract TechM)

- ISMS Audits, IT BCP/DRP Audits
- Manage, lead & implement IT Security /ISO 27001 implementation projects
- ISO 27001 certificate of implementation.



## **VP Technology at Vanguard Info-Solution Ltd, India: 2003- 2005**

- Lead-BS7799 implementation & Certification by BSI -UK Data Protection Act.

## **Vice President-Technology at Hero ITES Ltd, Gurgaon, India: 2001-2003**

- Call Center investment; set-up, implementation & ongoing 24X7 operations
- First party Auditor and Second party Auditee; Support ISO 9001 Implementation

## **Manager- IT Outsourcing at Hewlett-Packard India Ltd., New Delhi: 1999-2001**

## **Client Manager- SAP India: 1998-1999**

## **Group Manager- TQM at Digital Equipment India Ltd: 1984-1998**

- ISO 9002- Served as MR for the ISO 9002 Implementation for the 36 locations/400 staff.
- Certification - KPMG India

Multiple Roles in IT Outsourcing and Customer Services Division at Digital Equipment India LTD

## **Academics:**

- Bachelor Degree Engineering (Elect Engineering), University of Calcutta, Calcutta, 1983
- Chartered Engineer: I.E.T.E, New Delhi, 2003
- PG Diploma in Alternative Dispute Resolution Laws, **Indian Law Institute**, 2015

## **Professional Associations:**

- Advisor Cum Consultant- Hazen Legal
- Member Working Group: Cyber Security Task Force NASSCOM-DSCI
- Nominated Train the Trainer program for ISACA's Latest Cyber Security CSX program
- President, New Delhi Chapter ISACA & Member ISACA, USA (Nov 2014)
- Certification Chair -ISACA New Delhi Chapter 2019-2021
- Member –BCP Task Force, CII
- Senior LIFE, Member, Computer Society of India, Bombay.
- Fellow, Member, Institution of Electronic & Telecommunication Engineers (IETE), New Delhi.
- Member, All India Management Association, New Delhi.





## Personal Training Certification and Leadership Conferences:

- Aug 20 CIPM/CIPPe (US)/ComptiaA+,Sec501
- Aug 20 CCSK Trained by Cyberfrat
- May 20 CDPSE ISACA (US) / CNSS(UK), New Delhi
- May 20 PCI DSS v3.2.1, New Delhi
- Jan 20 IRCA9001LA BSI Certified, Delhi
- Oct 19 ITILV4 Foundation Certificate, Jaipur
- Nov '15 ISO 22301 Lead Auditor Training, New Delhi
- Oct'15 ISOs IECJTC1/SC27 Meet, Gurgaon
- July'15 ISO 31000 Risk Implementation, BSI, New Delhi
- Feb'15 PCI-DSS, Las Vegas
- Dec'14 Certified Ethical Hacking CEHv8, EC Council, New Delhi
- May '14 ISACA Global Leadership Meet, Las Vegas
- Mar'14 COBIT v5.0 ISACA USA, certified, Noida
- May'11 ISACA Global Leadership Meet, Hong Kong
- Oct' 10 ITILv3 HCL Certified, New Delhi
- Aug' 10 Technology Senate (CXO event-4 days), New Delhi
- July'06 Certified with ISO 27001 as Lead Auditor, BSI, New Delhi
- Aug'05 Certified Lead Auditor, ISO 9000:2000, IRCA, UK, New Delhi
- Jan'05 BS 7799 Lead Auditor, New Delhi
- Nov'04 BS 15000 Course: British Standards Institute, New Delhi
- July'04 BS 7799 Implementation Course
- Feb'97 CII/MAIT -EFQM for Quality System
- Jan'88 VAX high-end systems (4 months), Boston

