Rohit Verma - Business Continuity Professional, Mumbai

27 years of Industry experience/ CRP, CBCP, CISA, CSP, CA, MBA, PGDFPS, BS 25999 LA, OHSAS 18001 LA, SME in ITDR, BCM, Corporate Security, Health and Safety, Operations Risk Management, Compliance and Governance
Author of: The Emergency Management Handbook

Summary:

Mr Rohit has over 27 years of industry experience in Governance, Risk and Compliance in Business Continuity Management, IT Disaster Recovery, Crisis Management, Operations Risk Management, Vendor BCM Risk Management, Information Risk Management, Corporate Security and Health and Safety and Auditing.

Rohit was Ex-General Manager in IBM, managing Global BCM Program.

Prior to IBM, he was Vice President, Country Head – Business Continuity Management, IT Disaster Recovery, Corporate Security, Health and Safety in Barclays Bank Group, India. He was Assistant Vice President – Business Continuity Management in Deutsche Bank Group, having a Regional role in South Asia.

Rohit has also worked with KPMG in their Information Risk Management Division, where he has conducted IT Security audits for numerous national and MNC clients in India and UAE.

Rohit is a Certified Business Continuity Professional (CBCP) from Disaster Recovery International, USA. He is also a Certified Information Systems Auditor (CISA) from ISACA (USA), Certified Risk Professional, BS 25999 Lead Auditor, Chartered Accountant, MBA, OHSAS 18001 Lead Auditor, Post Graduate Diploma in Fire Protection and Safety (PGDFPS).

Rohit is a Guest Faculty with prominent Institutes / Bodies, on the subjects of Business Continuity Management and Risk Management.

He is an author of a book on preparing and managing personal emergency situations.

His interests include Traveling, Teaching under-privileged children, Music, Reading, Writing poetry.

Experience:

1. IBM - 9 years

Principal Consultant - Global Business Continuity Management: February 2019 - Present (2 years 2 months) Mumbai Area, India
Implementing Business Continuity Management Framework for all IBM Geographies globally, covering over 30 Countries.
Advising internal and external Clients on having a "fit for purpose" BCM in place.
General Manager - Resiliency Services: April 2012 - January 2019 (6 years 10 months) Mumbai

- Managed Governance, Risk and Compliance (GRC) for Resiliency Services Delivery.
- Regional Delivery Manager, responsible and accountable for Delivery of Resiliency Services for over 60 Clients.
- Managed a team of 12 Project Managers, providing Supervisory guidance and Advisory.
- Review of Resilience capabilities and providing BCM and DR advice to over 20 key clients at a strategic level.
- Responsible for IBM's Work Area Recovery (WAR) site of 800 + seats, having WAR and Hosting Clients.
- Providing BCM and DR advice to clients, developing DR Plans, conducting complex DR drills.
- Developed international best practices within IBM for delivery of Resiliency services to its Clients.
- Awarded 'Service Excellence Award' for exemplary contribution in 2015, 2016 for the practice 'Put the Client First'.

2. Barclays Bank - 3 years 3 months

Associate Director, Head - Business Continuity Management and IT DR: January 2008 - March 2011 (3years 3 months) Mumbai Area, India

- Ensured People, Processes and IT have a tested Business Continuity Planning recovery strategy and critical operations are not impacted by any event.
- BCM Program effectively delivered with introduction of many new initiatives/ projects.
- Conducted Full Scale Business Continuity Live test for all locations, including cash operations (first such initiative in Barclays globally)
- Started BCM regulatory compliance to RBI.
- Achieved cost savings of INR 3.5 mln by adopting 'fit for use' BCM recovery strategy.
- Consistently maintained Bank wide BCM Compliance Score Card above 99% every month.
- Set up Crisis Command and Control Center, developed Staff Incident Plan. Managed over 70 real life incidents.
- Assisted 85 Business Departments working with over 100 BCM Coordinators to document Business Impact Analysis, optimal Recovery Strategies and perform crisis exercises.
- Ensured that dependency of 6 key Operations on 16 external Partner Banks, have redundant Business Continuity planning.
- Developed Bank wide process criticality matrix; ensured their RTO and RPO are met.
- Managed 140 locations of Barclays Bank Group and critical Vendors.
- Developed detailed IT Disaster Recovery Plan; successfully tested over 50 key application systems, IT components.
- Appointed 30 Application Owners; gave training on their Roles and Responsibilities.

- Conducted annual Crisis Simulation Exercises for Senior Management and BCM Coordinators.
- Conducted detailed BCM - Due Diligence Review of 22 High, Medium critical vendors.
- Carried out BCM criticality rating of over 50 vendors and conducted annual BCM tests for them.
- Designed Risk & Control Analysis report; conducted its quarterly testing.
- Developed interesting BCM education and awareness campaigns.
- MIS to Sr Mgmt-BCM metrics, BCM Policy and Standards compliance, key projects, Vendor BCM status.

Associate Director - Head, Corporate Security: January 2008 - March 2011 (3 years 3 months) Mumbai Area,India

- Changed the perception of Security function from 'behind the scenes', 'unavoidable cost' to being seen as a strong, strategic function that provides service to entire organization.
- Made Corporate Security as an important part in Management decisions giving competitive business advantages.
- Achieved significant cost savings by reconstitution of security guards.
- Advised the senior management on Security strategy, defined Security Objectives.
- Ensured compliance to mandated Group Security Policies covering Premises and People.
- Responsible for Safety & Security of over 2500 staff in 140 branches in Barclays Group.
- Created Asset loss control procedures, post which security incidents reduced by 80 %
- Conducted over 40 Security Risk Assessments; closed gaps, achieved high savings.
- Implemented Operational Security Controls – Electronic Access Control Systems, CCTV, Intruder alarms, Public Address system, Building Management System, Physical Security, Inventory management.
- Set up Security Control Room with administration, monitoring, management.
- Ensured regular regulatory compliance by Security agencies.
- Created and rolled out innovative staff education and awareness campaigns.
- Designed and presented vital MIS for Management.

Associate Director - Head, Health and Safety: January 2008 - March 2011 (3 years 3 months) Mumbai Area,India

- Influenced Stakeholder groups to ensure appropriate standards in Health & Safety are adhered to.
- Compliance with National Building Code, Maharashtra Fire Prevention & Life Safety Measures Act, 2006.
- Appointed Emergency Response Team and trained 80 Fire Marshals; conducted 6 monthly fire evacuation drills.
- Designed and Implemented Fire Safety Controls covering Fire Extinguishers, Fire alarms, Emergency Exits, Signage's, Manual Call Points, Smoke Detectors , Fire Control Panel and Annual Maintenance Contracts for these safety devices.
- Identified Assembly Points in conjunction with CRES and created staff awareness.
- Initiated Medical Emergency Management in Barclays working closely with HR.
- Provided First Aid training to Fire Marshals at all locations.
- Designed Travel security guidelines.
- Created innovative Staff education and awareness programs.

Associate Director - Vendor Risk Management: January 2008 - March 2011 (3 years 3 months) Mumbai

- Managed core sourcing deliverables relating to BCM, IT DR, Corporate Security, Health and Safety.
- Identified Third Party Vendors as per business requirements and Outsourcing Risk Guidelines, using Risk Assessment Tools.
- Conducted Due Diligence Review of 22 High and Medium critical Vendors, covering their Governance Framework, Compliance to Policies, BCM, Physical Security, Access controls and Environmental controls
- Carried out criticality Rating of more than 50 Vendors for Barclays India and Indonesia Operations.
- Risk Acceptance process made for Non-Compliant Vendors, in conjunction with Business.
- Conducted annual live BCM exercises for all High risk vendors. Maintained Issues Log for gaps identified.

Associate Director - Operations Risk Management: January 2008 - March 2011 (3 years 3 months) Mumbai

- As part of BCM, IT DR and Corporate Security functions in Barclays, managed Operations Risk Management (ORM).
- Designed Risk Control Analysis (RCA) Self-Assessment report for compliance to ORM Policy and Standards.
- Developed, implemented and tested relevant internal controls. Reviewed workflows to minimize process risks.
- Identified various Operational Risks, conducted Risk Analysis and reporting, identified Risk Owners.
- Compiled major OR losses / issues for Senior Management. Documented relevant 'lessons learnt'.
- Carried out quarterly testing of the RCA for all 135 locations; any gaps tracked to closure within agreed time lines.
- Adhered to Records Management responsibilities.
- Designed and presented ORM Compliance Status for Country Management monthly reviews.

3. Deutsche Bank

Asst. Vice President, Head - Business Continuity Management: April 2004 - January 2008 (3 years 10 months)

- Successfully developed Business Continuity strategy and implementation for 275 Business Departments; working closely with over 300 BCM Coordinators across India, Pakistan and Sri Lanka.
- Designed and implemented BCM Governance Framework covering BCM Life Cycle Processes, Business Impact Analysis, IT Disaster Recovery, Crisis Management, War site, Pandemic Planning, Staff Awareness, Senior Management Crisis exercises, Regulatory reporting, Education and Awareness, MIS to Senior Management. Embedded BCM as a culture in the organization.
- Designed, implemented, tested over 1000 Work Area Recovery seats in India, Pakistan, Sri Lanka.
- Assisted Regional teams in Asia-Pacific in IT Disaster Recovery tests for over 50 systems.
- Designed Pandemic Action Plan covering pandemic kits and pandemic live testing, which was later adopted in all Asia Pacific countries in Deutsche Bank.
- Member of Bank's Senior Management Executive Committee (OPCO).
- Covered 45 locations of Deutsche Bank Group and their critical Vendors.
- Identified, finalized 22 Business Centers as BCM sites for smaller branches.

4. KPMG

Assistant Manager, Information Risk Management: August 2000 - March 2004 (3 years 8 months) Mumbai

- Developed IT Audit Programs for over 200 Clients covering Scope, Objectives, Duration, Team sizing, Reporting. Designed Audit Calendar for KPMG scheduling different types of Audits for different locations.
- Carried out detailed IT Security audits covering diverse IT Networks, Operating Systems, Databases, ERP Applications, General IT Controls, for over 200 national and MNC clients in India and UAE, including Foreign Banks.
- Identified weak IT security controls and assisted clients in strengthening the same.
- Reviewed internal controls structure as to whether they are designed properly and operating effectively and efficiently.
- Hands on experience in developing and auditing IT Governance Framework including IT Security awareness and IT Policies and Procedures.
- Carried out logical access controls audit in many private sector Banks for their application systems – Treasury, Core Banking, SAP, Corporate Loans, Internet Banking, Investment bonds and identified control weaknesses.
- Performed detailed review of BCM Governance Framework, Recovery Plans, Test results, Crisis Management process for many Indian and Foreign Banks and Financial Services Firms and advised areas of improvement.
- Team leader in a leading Financial Institute for conducting Business Process Analysis of its International Finance Division. Developed new automated procedures resulting in considerable savings in processing time and operational costs.
- Handled multiple clients and trained over 20 junior colleagues in various IT Security Audits.

A F Ferguson & Co Consultant - Management Consultancy Services: September 1997 - August 2000 (3 years)

- Led the functional and technical team of 8 people in a leading Telecommunications company in Designing, Developing and Implementing ERP based Fixed Assets and Budgeting Systems. Provided training to its users at various locations.
- Part of the team for Designing, Developing and Implementing ERP based Integrated Corporate Accounting System covering Financial Accounting, Fixed Assets and Budgeting Systems in a leading Insurance Corporation client of AFF. Designed the Functional Specifications and Test Data Guidelines for the above Systems.
- Developed testing methodology and carried out a Y2K Compliance Review for Corporate Accounts application software for a top MNC. Identified important areas where the application was not Y2K compliant.
- Carried out Diagnostic study in a large, multi-specialty private Hospital for its Billing System; highlighted weak internal controls.

Education:

Certified Risk Professional (ISO 31000, ISO 22301, ISO 27001): British Standards Institute, Risk Management · (2018 - 2018)

Certified Business Continuity Professional (CBCP) - Disaster Recovery Institute, USA - (2006 - 2006)

OHSAS 18001 LA Certified by IRCA and RABQSA - (2011 - 2011)

BS 25999 Lead Auditor - British Standards Institution - (2011 - 2011)

Certified Information Systems Auditor (CISA) - Information Systems Audit and Control Association, Chicago (2000 - 2000)

Top Skills:

Business Continuity
Business Continuity Planning
Risk Management

Languages:

English, French, Hindi, Punjabi

Certifications

Fire Hydrant System Design